

01-12-04



Attorney Docket No.: 55457-DIV (70904)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Osamu Sugimoto, et al.

U.S.S.N.: 10/730,622

Filed: December 5, 2003

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: LIQUID CRYSTAL DISPLAY AND MANUFACTURING METHOD THEREOF

.....
CERTIFICATE OF EXPRESS MAILING (Label No.: 342619858 US)

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By:


Nicole M. McKinnon

.....
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

II. COPIES

- a. _____ Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. X This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No.: 09/737,541, filed on December 15, 2000. A copy of the references are being submitted herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. _____ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. _____ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. _____ The following additional information is provided for the Examiner's consideration:

FEEs

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. X within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.

- c. _____ Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (4)) after the filing of a request for continued examination. No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. _____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
or
b. _____ See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. _____ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
b. _____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

_____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

 X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: January 8, 2004
Customer No. 21,874

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Osamu Sugimoto, et al.

Docket No.

55457-DIV (70904)

Serial No.

10/730,622

Filing Date

December 5, 2003

Examiner

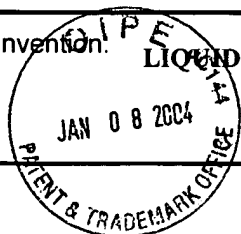
Not Yet Assigned

Group Art Unit

Not Yet Assigned

Invention:

LIQUID CRYSTAL DISPLAY AND MANUFACTURING METHOD THEREOF



I hereby certify that the following correspondence:

Information Disclosure Statement (along with related documents)

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(Date)

Nicole M. McKinnon

(Typed or Printed Name of Person Mailing Correspondence)


A handwritten signature in black ink, appearing to read "Nicole M. McKinnon".

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Information Disclosure Statement PTO - 1449 		Atty. Docket No: 55457-DIV (70904)	Serial No. 10/730,622			
		Applicant(s): Osamu Sugimoto, et al.				
		Filing Date December 5, 2003	Art Unit Not Yet Assigned			
UNITED STATES PATENT DOCUMENTS						
Exam. Initials	Ref. No.	Document Number	Date	Inventor(s)	Class	Filing Date
FOREIGN PATENT DOCUMENTS						
Exam. Initials	Ref. No.	Document Number	Date	Country or Office	Class	Translation
	BA	JP 1998-209458	Aug. 7, 1998	Japan		Abstract
	BB	JP 1992-217231	Aug. 7, 1992	Japan		Abstract
	BC	6-337436	Dec. 6, 1994	Japan		Abstract and Partial Translation
	BD	11-202360	July 30, 1999	Japan		Abstract
	BE	10-240150	Sept. 11, 1998	Japan		Abstract
	BF	63-314848	Dec. 22, 1988	Japan		Abstract
OTHER DOCUMENTS (INCL. TITLE, AUTHOR, DATE, PAGES, ETC)						
Exam. Initials	Ref. No.					
	CA	Copy of First Office Action received from Chinese Patent Office (and English translation)				
	CB	U.S. Patent Application No. 09/426,584, filed October 25, 1999.				
Examiner:				Date:		